



Statistical Significance of Sequence Alignment

Course code: MIM 5531

Course Title: Bioinformatics and Biostatistics

Course Teacher: Jennifer Michellin Kiruba N

Sequences producing significant alignments:

Select: All None Selected:0

Alignments Download GenPept Graphics Distance tree of results Multiple alignment							
	Description	Max score	Total score	Query cover	E value	Ident	Accession
<input type="checkbox"/>	lactase [Homo sapiens]	4011	4011	100%	0.0	99%	EAX11622.1
<input type="checkbox"/>	lactase-phlorizin hydrolase preproprotein [Homo sapiens]	4011	4011	100%	0.0	100%	NP_002290.2
<input type="checkbox"/>	lactase phlorizinhydrolase [Homo sapiens]	4009	4009	100%	0.0	99%	AAA59504.1
<input type="checkbox"/>	unnamed protein product [Homo sapiens]	4009	4009	100%	0.0	99%	CAA30801.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Pan paniscus]	3969	3969	100%	0.0	99%	XP_003822858.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Nomascus leucogenys]	3930	3930	100%	0.0	98%	XP_003267652.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Gorilla gorilla gorilla]	3891	3891	100%	0.0	96%	XP_004032645.1
<input type="checkbox"/>	PREDICTED: LOW QUALITY PROTEIN: lactase-phlorizin hydrolase [Pongo abelii]	3886	3886	100%	0.0	97%	XP_002812489.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Macaca fascicularis]	3835	3835	100%	0.0	96%	XP_005573098.1
<input type="checkbox"/>	hypothetical protein EGK_05718 [Macaca mulatta]	3834	3834	100%	0.0	96%	EHH22449.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Macaca mulatta]	3833	3833	100%	0.0	96%	XP_014965495.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Papio anubis]	3833	3833	100%	0.0	96%	XP_003909221.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Macaca nemestrina]	3832	3832	100%	0.0	96%	XP_011758105.1
<input type="checkbox"/>	hypothetical protein EGM_05165 [Macaca fascicularis]	3829	3829	100%	0.0	96%	EHH55875.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Chlorocebus sabaeus]	3828	3828	100%	0.0	96%	XP_007963046.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Mandrillus leucophaeus]	3825	3825	100%	0.0	96%	XP_011825664.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Rhinopithecus roxellana]	3823	3823	100%	0.0	95%	XP_010365578.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Cercopithecus atys]	3821	3821	100%	0.0	96%	XP_011925242.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Callithrix jacchus]	3741	3741	100%	0.0	93%	XP_002749525.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Saimiri boliviensis boliviensis]	3723	3723	100%	0.0	93%	XP_003922057.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Aotus nancymae]	3682	3682	100%	0.0	92%	XP_012332156.1
<input type="checkbox"/>	PREDICTED: lactase-phlorizin hydrolase [Colobus angolensis palliatus]	3547	3547	100%	0.0	90%	XP_011793136.1
<input type="checkbox"/>	PREDICTED: LOW QUALITY PROTEIN: lactase-phlorizin hydrolase [Pan troglodytes]	3491	3694	95%	0.0	98%	XP_009441718.1

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Home [this protein phosphatase 3, catalytic subunit, alpha isozyme \(PPP3CA\), transcript v](#)



Sequence ID: [ref|NM_001130692.1|](#) Length: 4520 Number of Matches: 3

Range 1888 to 4520: [GenBank](#) [Graphics](#)

▼ Next Match ▲ Previous Match

Score	Expect	Identities	Gaps	Strand
4863 bits (100%)	0.0	2633/2633(100%)	0/2633(0%)	Plus/Plus
Query 2044		GCTATCAAAGGATTTTCACCACAACATAAGATCACTAGCTTCGAGGAAGCCAAGGGCTTA		2103
Sbjct 1888		GCTATCAAAGGATTTTCACCACAACATAAGATCACTAGCTTCGAGGAAGCCAAGGGCTTA		1947
Query 2104		GACCGAATTAATGAGAGGATGCCGCCTCGCAGAGATGCCATGCCCTCTGACGCCAACCTT		2163
Sbjct 1948		GACCGAATTAATGAGAGGATGCCGCCTCGCAGAGATGCCATGCCCTCTGACGCCAACCTT		2007
Query 2164		AACTCCATCAACAAGGCTCTCACCTCAGAGACTAACGGCACGGACAGCAATGGCAGTAAT		2223
Sbjct 2008		AACTCCATCAACAAGGCTCTCACCTCAGAGACTAACGGCACGGACAGCAATGGCAGTAAT		2067
Query 2224		AGCAGCAATATTCAGTGACCACTTCCTGTTTCACTTTTTTTTTTTTTTTTTTTTTTTTTTTT		2283
Sbjct 2068		AGCAGCAATATTCAGTGACCACTTCCTGTTTCACTTTTTTTTTTTTTTTTTTTTTTTTTTTT		2127
Query 2284		TTGAGCTGCGGGGCATGATGGGGATTGCTGCATATCAGCAGTTGGATGTTCTTGCCTCTG		2343
Sbjct 2128		TTGAGCTGCGGGGCATGATGGGGATTGCTGCATATCAGCAGTTGGATGTTCTTGCCTCTG		2187

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- The calculation of alignment scores involves addition of the match/mismatch values from the matrix for every nucleotide base or amino acid residue involved in the alignment to obtain a gross alignment score.
 - Then the total gap penalty is calculated.
 - The total gap penalty value is subtracted from the gross alignment score value to obtain the final alignment score.

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- The statistical significance of the raw score, S , of an alignment is assessed to determine whether the observed alignment is specific or could be the result of random chance.
 - This is done by creating many random sequences of the same length from one of the two aligned sequences by shuffling the sequence and running the alignment again.
 - Typically this reshuffling and realignment process is repeated 200 times or more.
 - Each alignment using these random sequences produces an alignment score (s).
 - These scores (s_1, \dots, s_n) are plotted to generate a distribution pattern, a threshold of significance is set, and the original score (S) is compared against this distribution.
 - If the S is located at one end of the distribution (extreme value distribution) that means that the alignment is not likely to be produced by random chance.

Score, Bit-score, P-value, E-value

Score: A number used to assess the biological relevance of a finding.

In the context of sequence alignments, a score is a numerical value that describes the overall quality of an alignment. Higher numbers correspond to higher similarity. The score scale depends on the scoring system used (substitution matrix, gap penalty).

$$S = \sum_{i=1}^L s_{n_i p_{2,i}}$$

Example:

R	L	A	S	V	-	E	T	D	M	W	T	P	L	T	L	R	Q	H
.		.		:		:		.	:			.		.	.			
T	L	T	S	L	A	Q	T	T	L	-	-	K	A	H	L	G	T	H
-1	+4	+0	+4	+1	-4	+2	+5	-1	+2	-4	-1	-1	-1	-2	+4	-2	-1	+8

= 12

Substitution matrix (s_{ij})

Ala	A	4																	
Arg	R	-1	5																
Asn	N	-2	0	6															
Asp	D	-2	-2	1	6														
Cys	C	0	-3	-3	-3	9													
Gln	Q	-1	1	0	0	-3	5												
Glu	E	-1	0	0	2	-4	2	5											
Gly	G	0	-2	0	-1	-3	-2	-2	6										
His	H	-2	0	1	-1	-3	0	0	-2	8									
Ile	I	-1	-3	-3	-3	-1	-3	-3	-4	-3	4								
Leu	L	-1	-2	-3	-4	-1	-2	-3	-4	-3	2	4							
Lys	K	-1	2	0	-1	-3	1	1	-2	-1	-3	-2	5						
Met	M	-1	-1	-2	-3	-1	0	-2	-3	-2	1	2	-1	5					
Phe	F	-2	-3	-3	-3	-2	-3	-3	-3	-1	0	0	-3	0	6				
Pro	P	-1	-2	-2	-1	-3	-1	-1	-2	-2	-3	-3	-1	-2	-4	7			
Ser	S	1	-1	1	0	-1	0	0	0	-1	-2	-2	0	-1	-2	-1	4		
Thr	T	0	-1	0	-1	-1	-1	-1	-2	-2	-1	-1	-1	-2	-1	1	5		
Trp	W	-3	-3	-4	-4	-2	-2	-3	-2	-3	-2	-3	-1	1	-4	-3	-2	11	
Tyr	Y	-2	-2	-2	-2	-1	-2	-3	2	-1	-1	-2	-1	3	-3	-2	-2	7	
Val	V	0	-3	-3	-3	-1	-2	-2	-3	-3	3	1	-2	-1	-2	0	-3	-1	4
Ala	A																		
Arg	R																		
Asn	N																		
Asp	D																		
Cys	C																		
Gln	Q																		
Glu	E																		
Gly	G																		
His	H																		
Ile	I																		
Leu	L																		
Lys	K																		
Met	M																		
Phe	F																		
Pro	P																		
Ser	S																		
Thr	T																		
Trp	W																		
Tyr	Y																		
Val	V																		

gap penalty (s_i)

gap opening -4

gap extension -1


end gap 0

Z-Score

- In the statistical sense, Z is the distance between S and the mean of scores obtained using randomized sequences.
- The Z-score is calculated by repeating the reshuffling and realignment process, as described above, and noting the raw score (s) of each alignment using the randomized sequences (s_1, \dots, s_n).
- The mean (\bar{x}) and the standard deviation (σ) of s_1, \dots, s_n are calculated and from these the Z-score of the target alignment can be determined.
- The calculation of the Z-score assumes that the alignment of the shuffled random sequences shows a normal distribution.



interpretation of the Z-score is as follows:

- $Z > 20$: two sequences are definitely homologous (Family)
 - Z between 10 and 20: two sequences most likely homologous (Family/Superfamily)
 - Z between 6 and 8: two sequences are less likely to be homologous
 - $Z < 6$: not significant.
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P-Value

- The P-value of an alignment represents the probability of obtaining a score $\geq S$ by chance.
- For example, if the P-value is 10^{-5} , it means that the probability of obtaining an alignment with a score $\geq S$ is 1 out of 10^5 .
- Thus, different alignments can be compared based on their P-values.
- The P-value ranges from 0 to 1; the closer it is to 0, the better is the alignment.

E-Value

- The E-value is the expectation value that indicates the number of alignments with a score $\geq S$ that one can expect to find by chance in a database of size N .
- Hence, the E-value is dependent on the database size and the query length.
- The closer the E-value to 0, the better is the alignment.
- The E-value is the most widely used measure for estimating the quality of sequence alignment—that is, the extent of sequence similarity.
- The typical threshold for the E-value when judging homology, particularly using BLAST, is $E \leq 1e-5$, and the lower the value, the better it is.
- lowering the default value makes the search more stringent and fewer chance matches are reported.

P-value: Probability that an event occurs by chance.

In the context of sequence alignments, the **P-value** associated to a score S is the probability to obtain by chance a score x at least equal to S :

$$P\text{-val}(S) = P(x \geq S)$$

$$\begin{aligned} Pval_s^{MSP} &= Ke^{-\lambda S} \\ &= Ke^{-\ln(2)S + \ln(K)} \\ &= 2^{-S} \end{aligned}$$

This equation was derived from the EVD score distribution obtained from all pair alignments (see course).

E-value (Expectation value): correction of the *p-value* for multiple testing.

In the context of database searches, the **E-value** (associated to a score S) is the number of distinct alignments, with a score equivalent to or better than S , that are expected to occur in a database search by chance. The lower the E value, the more significant the score is.

$$\begin{aligned} E &= mn \cdot Pval \\ &= Kmne^{-\lambda S} \\ &= NKe^{-\lambda S} \\ &= N/2^S \end{aligned}$$

$E\text{-val}(S) = P\text{-val}(S) * N$ where N is the size of the search space ($N = n*m$ where n is the length of the query sequence and m is the length of the database).

Bit Score

- The bit score (S_0) is a normalized raw score expressed in bits; it is an estimate of the search space one has to search through—that is, the number of sequence pairs one has to score—before one can come across a raw alignment score $\geq S$, by chance.
- It should be emphasized that the bit score is dependent on sequence length, and short sequences may not produce high bit scores despite very high identity.
- To summarize the utility of the statistical estimates of sequence alignment in simple terms, the better the alignment (e.g. homologous sequences),
 - the lower the P- and E-values,
 - and the higher the Z- and bit scores.

Bit-score: A log-scaled version of a score.

In the context of sequence alignments (BLAST), the **bit-score S'** is a normalized score expressed in *bits* that lets you estimate the magnitude of the *search space* you would have to look through before you would expect to find an score as good as or better than this one by chance. Althshul proposes to following definition:

$$S' = \frac{\lambda S - \ln(K)}{\ln(2)}$$

S is the raw score. Parameters λ and K depend on the substitution matrix and on the gap penalties (Altschul).



Ex: If the bit-score is 30, you would have to score, on average, about $2^{30} = 1$ billion independent segment pairs to find a score this score by chance. Each additional bit doubles the size of the search space.

The bit-scores is thus a rescaled version of the raw alignment score that is *independent of the size of the search space*.

The **size of the search space** is proportional to the product of the query sequence length (n) * the sum of the lengths of the sequences in the database (m): **$N = n * m$** . The size of the search space is then obtained by multiplying N by a coefficient K (Altschul).

Ex: When searching protein databases with protein queries, K is about 0.13. Thus, for a protein of length $n=235$ aa which is searched against a database of size $m=12\,496\,420$ aa, the size of the search space is equal to $0.13 * 235 * 12\,496\,420 =$ about 0.38 billion. In this case, a bit score of 30 (which corresponds to a space of $2^{30} = 1$ billion) may have occurred by chance alone.

- BLAST E-Value Cut-Off
- For nucleic-acid-based search, the suggested threshold (minimum significant hit) for the E-value is $\leq 1e-6$ and a sequence identity of $\geq 70\%$.
- For protein-based search, the suggested threshold for the E-value $\leq 1e-4$, with a sequence identity of $\geq 35\%$. However, typically for protein-based homology search, the threshold used is $E \leq 1e-5$, and the lower it is, the better. For example, an E-value of $1e-25$ will indicate a clear homology.

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